

- b. at least one rules engine process for receiving said transaction from said client process and applying at least one rule to said transaction;
- c. at least one execution process for receiving said order from said rules engine process and for executing said order, when the application of at least one rule by said rules engine process results in an approved outcome; and
- d. providing a warning to a user about the order when specified by said rule.
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REMARKS

Two new independent claims are presented for consideration. Prompt favorable examination is solicited.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Keith E. George
Registration No. 34,111

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 KEG:apr
Facsimile: (202) 756-8087
Date: January 22, 2003